Substitute for form 1449A/PTO

PTO/SB/08A (08-00)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 10

Complete if Known					
Application Number	To Be Assigned				
Filing Date	Herewith	D.			
First Named Inventor	Cech, Thomas J.				
Group Art Unit	To Be Assigned				
Examiner Name	To Be Assigned	-			
Attorney Docket Number	015389-002640US				

				U.S. PATENT DOCUM	MENTS	
Examiner Initials *	Cite No.'	U.S. Patent Door Number Kind C	Code <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
100	Α	08/751,189		Harrington, et al.	11/15/96	
7	В	60/038,750		Counter, et al.	02/20/97	
	С	3,817,837		Tanenholtz et al.	06-18-74	
	D	3,850,752		Schuurs et al.	11-26-74	
	E	3,939,350		Kronick et al.	02-17-76	
	F	3,996,345		Uilman et al.	12-01-76	
	G	4,275,149		Litman et al.	06-23-81	
7	Н	4,277,437		Maggio	07-07-81	
	1	4,366,241		Tom et al.	12-28-82	
	J	4,683,195		Mullis et al.	07-28-87	
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	L	4,816,567		Cabilly et al.	03-28-89	
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	N	5,489,508		West et al.	02-06-96	
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1	Р	5,747,317		Cao	05-05-98	
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_	R	6,093,809		Cech, et al.	07/25/00	
	s	6,258,535	B1	Villeponteau et al.	07/10/01	
1.	Т	6,261,556	B1	Weinrich et al.	07/17/01	
<b>-</b> Y	υ	6,261,836	B1	Gech et al.	07/17/01	

			FOREIG	N PATENT DOCU	IMENTS		
Examiner Initals*	Cite No.1	For Office <sup>3</sup>	reign Patent Document  Number <sup>4</sup> Kind Code <sup>5</sup>	Name of Patentee or Applicant of	Date of Publication of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant	
		Unida	(If known)	Cited Document	MM-DD-YYYY	Figures Appear	
24	٧	JP	09154575-A		06-17-97		
	W	PCT	WO 84/03564	T	09-13-84		
	Х	PCT	WO 95/13382		05-18-95		
	Y	PCT	WO 98/01835		01-25-96		
	Z	PCT	WO 98/12811		05-02-98		
	AA	PCT	WO 96/19580		06-27-98		

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as Indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitu	ite for form 1449	APTO		Complete if Known			
				Application Number	To Be Assigned		
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STATEMENT BY APPLICANT				First Named Inventor	Cech, Thomas J.		
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Sheet	72	of	10	Attorney Docket Number	015389-002640US		

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Sul	AB	PCT	WO 96/40868			12-19-96		
7	AC	PCT	WO 98/01542			01-15-98		
	AD	PCT	WO 98/01543			01-15-98		
	AE	PCT	WO 98/07838	1.		03-05-98		
	AF	PCT	WO 98/08938			02-26-98		
	AG	PCT	WO 98/21343			05-22-98		
	AH	PCT	WO 98/37181			08-27-98		
	Al	PCT	WO 98/45450			10-15-98		
	LA	PCT	WO98/59040			12/30/98		
4	AK	PCT	WO99/01560	1		01/14/99		

Examiner Signature	Date Considered	29/04

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Complete If Known Substitute for form 1449B/PTO **Application Number** To Be Assigned INFORMATION DISCLOSURE Filing Date Herewith STATEMENT BY APPLICANT Cech, Thomas J. First Named Inventor **Group Art Unit** To Be Assigned (use as many sheets as necessary) To Be Assigned Examiner Name 015389-002640US Attorney Docket Number Sheet

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
Gu	AL	1994 Genome Issue of Science (265:1981f)	
1	AM	Anderson and Young, "Quantitative Filter Hybridization" in Nucleic Acid Hybridization pp73-111 (1985)	
9	AN	August of the Courtenit Projector in Motor de Billion, 15 I Willey & Sons, New York NY (1989)	
Cu	-AO	Autexier et al., "Reconstitution of human telomerase activity and identification of a minimal functional region of the human telomerase RNA," (1996) EMBO J, 15:5928	
	AP	Auxexier and Greider, "Functional reconstitution of wild-type and mutant Tetrahymena telomerase," (1994) Genes Develop., 8:583	
3	AQ	Beggar eath Kimmen Guide to Molecular Beoling Techniques, Meth. Enzymol., vol. 152, Academic Press, San Diego	
Su	AR	Blessman et al., "Addition of Telomere-Associated HeT DNA Sequences "Heals" Broken Chromosome End s in Drosophila," (1990) Cell, 61:663	
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	AT	Blackburn and Chiou, "Non-nucleosomal packaging of a tandemty repeated DNA sequence at termini of extrachromosomal DNA coding for rRNA in Tetrahymena," (1981) Proc. Natl. Acad. Sci., 78:2263	
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	AV	Blackburn, "Telomerases," (1992) Ann. Rev. Blochem., 61:113	
	AW	Bodnar et et., "Extension of Life-Span by Introduction of Telomerase into Normal Human Cells," (1998) Science, 279:349	
	AX	Bradford, "A Rapid and Sensitive method for the Quantitation of Microgram Quantities of Protein Utilizing the Principle of Protein-Dye Binding," (1976) Anal. Biochem., 72:248	
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Date Examiner Considered Signature

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	Substitute	for form 1449E	3/PTO			Complete if Known
					Application Number	To Be Assigned
				CLOSURE	Filing Date	Herewith
	STATEMENT BY APPLICANT				First Named Inventor	Cech, Thomas J.
					Group Art Unit	To Be Assigned
		(use as man)	y sheets as	necessary)	Examiner Name	To Be Assigned
_	Sheet	TA	of	10	Attorney Docket Number	015389-002640US

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	<b></b>
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
Cu	AZ	Calvio et al., "Identification of hnRNP P2 as TLS/FUS using electrospray mass spectrometry," (1995) RNA, 1:724	
1	ВА	Caruthers et al., "New chemical methods for synthesizing polynucleotides," (1980) Nucleic Acids Res. Symp. Ser., 215-223	
	88	Chan and Tye, "Organization of DNA sequences and replication origins at yeat telomeres, " (1983) Cell, 33:563	
V	вс	Colbere-Garapin et al., "A new dominat hybrid selective marker for higher eukaryotic cells," (1981) J. Mol. Biol., 150:1	
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Su	— ВН	Cote et al., "Generation of human monoclonal antibodies reactive with cellular antigens," (1983) Proc. Natl. Acad. Sci., 80:2026	
	Bl	Counter et al., "The catalytic subunit of yeast telomerase," (1997) Proc. Natl. Acad. Scl., 94:9202	
V	ВЈ	Counter et al., (1994) Proc. Natl. Acad. Sci., 91:2900	
4	вк	Creighton Project Structure Sandu Macular Principles VI Tree dan and Co, New York, NY (1983)	
4	BL	Dieffer badh and Dveksler, PCR Primer, a Laboral orf Manual Cold Spring Harbor Press, Plainview NY (1995)	

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Examiner Signature	$\mathcal{A}$	(   )	Date Considered	ul	29/	04	

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		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
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Su	ВМ	Duplaa et al., "Quantitative analysis of polymerase chain reaction products using biotinylated dUTP incorporation," (1993) Anal. Blochem., 212:229				
1	BN	Fang et al., "Oxytricha telomere-binding protein: separable DNA-binding and dimerization domains of the α-subunit," Genes Develop. 7:870 (1993) and Gray et al., (1991) Cell 67:807				
	во	Feng et al., "The RNA Component of Human Telomerase," (1995) Science, 269:1236				
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	BQ Genbank accession no. AA299878					
	BR	Genbank accession no. AA311750				
	BS	Gilley et al., "Altering specific telomerase RNA template residues affects active site function." (1995) Genes Develop., 9:2214				
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	вх	Greider and Blackburn, "A telomeric sequence in the RNA of Tetrahymena telomerase required for telomere repeat synthesis," (1989) Nature, 337:331				
Y	BY	Greider and Blackburn, "Identification of a specific telomere terminal transferase activity in Tetrahymena extracts," (1985) Cell, 43:405				

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Examiner Signature	Jun	Date Considered	11/29/0	4

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		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	Т
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1	CA	Greider, "Telomere Length Regulation," (1996) Ann. Rev. Blochem., 65:337	
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	CD	Harrington et al., "Human telomerase contains evolutionarily conserved catalytic and structural subunits," (1997) Genes Dev., 11:3109	
	CE	Hartman and Mulligan, "Two dominant-acting selectable markers for gene transfer studies in mammalian cells," (1988) Proc. Natl. Acad. Sci., 85:8047	
	CF	Henderson and Blackburn, "An overhanging 3' terminus is a conserved feature of telomeres," (1989) Mol Cell. Blol., 9:345	
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	CI	Huse et al., "Generation of a large combinatorial library of the immunoglobulin repertoire in phage lambda," (1989) Science, 246:1275	
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Examiner Signature	100	Date Considered	(1)	29/00	1

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Application Number	To Be Assigned	
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GU	CN	Koehler and Milstein, "Continuous cultures of fused cells secreting antibody of predefined specificity." (1975) Nature 258:495	
•	со	Kosbor et al., "The production of monoclonal antibodies from human lymphocytes," (1983) Immunol. Today 4:72	
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	cw	Lowy et al., "Isolation of transforming DNA: Cloning the hamster aprt gene," (1980) Cell, 22:817	
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	CY	Maddox et et., "Elevated serum levels in human pregnancy of a molecule immunochemically similar to eosinophil granule major basic protein," (1983) J. Exp. Med., 158:1211	
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Sty	DB	Melby et al., "Quantitative measurement of human cytokine gene expression by polymerase chain reaction," (1993)  J. Immunol. Meth., 159:235
	DC	Merrifield, "Solid phase peptide synthesis. I. The synthesis of a tetrapeptide," (1963) J. Am. Chem. Soc., 85:2149
4	DD	Meyerson et al., "hEST2, the Putative Human Telomerase Catalytic Suburit Gene, Is Up-Regulated in Tumor Cells and during Immortalization," (1997) Cell, 90:785
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT	First Named Inventor	Cech, Thomas J.		
	Group Art Unit	To Be Assigned		
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Examiner initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/044,692	
Filing Date	January 11, 2002	
First Named Inventor	Cech	
Art Unit		
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		Document Number					
Examiner Cite Number Ki	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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Application Number	10/044,692
Filing Date	January 11, 2002
First Named Inventor	Thomas R. Cech
Art Unit	1646
Examiner Name	Not yet known
Attorney Docket Number	015389-002640US

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BK	A1	US-6,440,735-B1	<del>08-27-2</del> 003	Gaeta					
EM	A2	US-6,444,650 B1	09-03-2003	Cech et al.					
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STAT	EMENT B	YA	PPLICANT	First Named Inventor	Thomas Cech.			
				Art Unit	1646			
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Sheet	2	of	2	Attorney Docket Number	015389-002640US			
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	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²						
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Form 1449 (modified)

Docket: 018/213C

U.S.S.N.: 10/044,692

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets if Necessary)

Title: Human Telomerase Catalytic Subunit: Diagnostic and Therapeutic Methods

Inventors: Thomas R. Cech, et al.

Filing Date: January 11, 2002

Group: 1642

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<b>)</b>	5	ù	EA	5,853,719	Apr 30/96	Dec 29/98	424/93.21	Nair SK et al	Methods for Treating Cancers and Pathogen Infections Using Antigen- Presenting Cells Loaded with RNA
į			ЕВ	6,306,388	May 6/98	Oct 23/01	424/93.21	Nair SK et al	Methods for Treating Cancers and Pathogen Infections Using Antigen- Presenting Cells Loaded with RNA
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Exami Initia		Ref.	Document No.	Publication Date	Juris- diction	Title	Translation
	u	EE	EP 1093381 B1	Aug 20/03	EP	Antigenic PeptiEes Derived from Telomerase	N/A
		EF	WO 99/63945	Dec 16/99	PCT	Vaccination Strategy to Prevent and Treat Cancers	N/A
		EG	WO 00/61766	Oct 19/00	PCT	Telomerase-Specific Cancer Vaccine	N/A
		EH	WO 00/73420	Dec 7/00	PCT	Creation of Human Tumorigenic Cells and Uses Therefor	N/A
		ΕI	WO 01/60391	Aug 23/01	PCT	A Universal Vaccine and Method for Treating Cancer Employing Telomerase Reverse Transcriptase	N/A
		EJ	WO 02/094213	Nov 28/02	PCT	Polyorganosiloxane Micro-Ernulsion Composition and Raw Material for Cosmetics	N/A
		EK	WO 03/038047	May 8/03	PCT	Human Telomerase Reverse Transcriptase as a Class-II Restricted Tumor-Associated Antigen	N/A

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	EM	Bellone M et al, In Vitro Priming of Cytotoxic T Lymphocytes Against Poorly Immunogenic Epitopes by Engineered Antigen-Presenting Cells, Eur J Immunol 24:2691 (1994)
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Form 1449 (modified)

Docket: 018/213C

U.S.S.N.: 10/044,692

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets if Necessary)

Title: Human Telomerase Catalytic Subunit: Diagnostic and Therapeutic Methods

inventors: Thomas R. Cech, et al.

Filing Date: January 11, 2002

Group: 1642

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						Art Unit		1642	
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Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	12	 of	2	

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	10/044,692	_
Application Number		_
Filing Date	January 11, 2002	
First Named Inventor	Cech, Thomas R.	
Art Unit	1642	
Examiner Name	Ungar, Susan NMN	
Attorney Docket Number	015389-002640US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Title: Nucleic Acid Vaccine for Eliciting an la Reverse Transcriptase Inventore: Thomas R. Cech, <i>et al.</i>	mmune Response Against Telomerase
(Usi	e Several Sheets if Necessary)	Filing Date: January 11, 2002	Group: 1642

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Examiner Initial	Ref.	Document No.	Filing Date	Publication Date	Class/ Subclass	Inventors	Title
					none		

#### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner initial	Ref.	Document No.	Publication Date	Juris- diction	Title	Translation
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